

# Tring! Tring!

## Usability Study of a Voice Based Information System

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### ABSTRACT

In developing regions, voice based telecommunication services are one of the most appropriate medium for information dissemination as they overcome prevalent low literacy rate and are more reachable than any other medium. However, voice based Interactive Voice Response (IVR) systems are still not exploited to their full potential and are commonly considered as frustrating to use. We did a real world experiment to investigate the usability issues of a voice based system. In this paper, we report content usage of our experimental IVR and interface difficulties as experienced by the user. We also highlight the user behavior towards accessing critical and non-critical information over multiple information media vis-a-vis IVR, web and talking to a human on the phone. Findings from the post-study survey reveal that people trust web more than human and IVR. We believe, our results can be used for efficient development of future IVR systems.

### Author Keywords

Interactive Voice Response (IVR), Real-world experiment, India, Information dissemination, Study in wild

### ACM Classification Keywords

H.5.2 User Interfaces: Voice I/O ; H.1.2 User/Machine Systems: Human factors

### General Terms

Design, Experimentation, Human Factors

### INTRODUCTION

Telecommunication services are vital medium for information exchange and communication. They have a huge impact in developing countries, where reach of the Internet connection is relatively very low as compared to developed countries. At present, there are more than 5 billion mobile phone users [1] compared to 2 billion users of the Internet [8] in the

entire world. According to 2011 statistics released by Telecom Regulatory Authority of India (TRAI), there are 885 million [17] land-line and mobile connections in India whereas the count of the Internet users is around 100 million [8] only. Similar trends can be seen in other BRIC<sup>1</sup> nations [2]. Brazil, Russia and China have less than 42% of the total population connected to the Internet. The number of subscribers of cellular mobile in terms of total population for the Brazil, Russia and China are 90.5%, 162.4% and 64.4% respectively. These figures clearly show high penetration of telecommunication services in the developing countries as compared to the Internet. Thus in developing regions, phone based medium has much more reachability than the Internet. Apart from reachability, any technological intervention for large scale information dissemination also has to deal with the literacy rate of the end users. Researchers have shown that voice based systems can overcome the barrier of low literacy, as voice is natural and accessible medium for many people who often have limited formal education [10]. Additionally, any voice based information dissemination system, accessible through regular phones, will easily penetrate on existing infrastructure and will seamlessly integrate with what people routinely use.

Use of Interactive Voice Response (IVR) has already been advocated for information dissemination in developing regions [6, 10]. IVR is a voice based system that is accessible through any mobile or land-line phone. IVR has been extensively used in industry for call automation while providing customer service. It is also argued that IVR is easier to use when compared to the Internet, as Internet use requires certain skills and training. In comparison to traditional information dissemination media like Television or Radio, communication on traditional media is passive whereas IVR enables interactivity for active communication.

In spite of significant work to improve the interaction with the IVR systems, this technology has not been utilized to its full potential. Most of the work in this area is confined to studying a group of users and proposing a system solution that is common for everyone [10, 14, 15]. By common system solution, we mean that all callers<sup>2</sup> are handled in the same way regardless of their knowledge, experience, navigation skills

<sup>1</sup>BRIC refers to Brazil, Russia, India and China.

<sup>2</sup>Callers, users and applicants are interchangeably used in this research.

and willingness to use the automated system. However, we argue that every individual has a unique set of properties that differentiate him / her from the other individuals and the same holds true for the caller of a voice based application. Every caller to a voice based application has his / her own individual set of aural, speech, hand-eye coordination (as used in touch-tone interface) and material comprehension skills. Environmental variables such as background noise, poor mobile phone signals and caller distraction also make each call to the IVR system a totally unique interaction. A human operator is good at handling these intricacies and is therefore typically preferred over the IVR [3]. This motivates us to study the design issue of an IVR system that can accommodate individual differences leading towards better user experience.

To explore this idea, we conducted a real world experiment in an academic institute in Delhi (hereafter referred to as IIT-Delhi or IIT-D). We designed, implemented and deployed an IVR system at IIT-Delhi campus for admissions in undergraduate courses for the year 2011. The experiment helped us in understanding the behavior of users of IVR system. In this paper, we present analysis of usage of our IVR system done by 2,211 applicants (referred as users in the rest of the paper) over 13 days of deployment. Since multitudes of information was accessible through multiple mediums vis-a-vis IVR, web interface and talking to a call executive over phone, we also present the comparative analysis of information dissemination through multiple mediums. Based on the results we propose hypothesis for an IVR system that can adapt based on specific caller requirements for interaction and information content.

The remainder of the paper is organized as follows: In the next section, we describe the related work on IVR. We then describe the design, features, and implementation of IIT-D IVR system. Deployment section outlines the IIT-D IVR deployment, followed by the results and observations: we describe statistics for the usage of IVR content and difficulty in accessing the information over the IVR in this section. Based on these findings, we discuss some design implications for an IVR system with improved user experience. We conclude with directions for future work.

## RELATED WORK

Studies in the area of IVR and automated telephone services have proposed various approaches to improve the usability of the system. Perugini et al. [11] discussed about three design dimensions for automated telephone services that could be used to study design issues in IVR. These three design dimensions in their conceptual design space were, nature of the user in terms of addressable<sup>3</sup> input (in-turn vs. out-of-turn), input modality (touch vs. text vs. voice), and interaction style (menu-based vs. natural language). In their work, they studied *out-of-turn interaction*: a different nature of user addressable input. Their work reflected user's model of the task while navigating through the menus. They showed that

<sup>3</sup>By addressable information authors mean the information which the system can accept from the user or in other words, the information that the user can supply. They do not mean information that the system indexes (addresses).

this type of approach is only possible for IVR with speech recognition. However, its use may be limited in developing regions wherein speech technology is not advanced for native languages.

IVR system's input modalities for VUI (Voice User Interfaces) have also been extensively explored. Lee and Lai [5] compared dial interfaces with speech and showed that dial interfaces are preferred for linear task and speech is preferred for non-linear task. Patel et al. [9] showed that dial interface outperformed speech in terms of task completion rate and learnability. They also showed that dial interface was relatively easier to use than speech.

Navigation is an essential component of any menu based IVR. Multiple studies have proposed their own methods to improve navigation in menu based IVR. Skip and Scan is one such navigation approach for menu based IVR, wherein caller could easily navigate back and forth through menus without first listening to all of the prompts for a particular menu [12]. Zap and Zoom [4] is another proposed approach for navigation in menu based IVR, that improves over Skip and Scan approach by allowing users to jump directly to a location in IVR using shortcuts. However, Zap and Zoom requires that the caller should be aware of options in IVR menu beforehand otherwise they will not be able to take the decision of the location they want to jump upon in the IVR menu.

Apart from technological aspects, human factors also impact system design significantly. Grover et al. [14] proposed a dialog design model for low literate users. They discussed that socio-cultural and domestic environment of users may affect the usability of IVR systems. Some users preferred DTMF for privacy reasons. They also emphasized that techniques like speech recognition and profiling cannot work in cases where mobile sets have multiple ownerships. In a country like India, people generally share mobile phones among family members therefore leading to common existence of multiple ownership. BlindSight [7], a prototype voice application for mobile phones allows users to achieve eyes free (using the phone without looking at it) error rate below 5%. The experiment revealed that overhead for eyes-free use of mobile phone key pad is only 200ms per keystroke compared to sighted use. Bernhard Suhm [16] studied cognitive limitations relevant to voice user interface design.

IVR technology has also been used in developing world to study different aspects of system design. Patel et al. [10] studied the use of IVR as a social media with traditional media as radio. Sherwani et al. [15] deployed phone based speech interface to access health information by low-literate community health workers. Sambasivan et al. [13] designed a phone broadcasting system for urban sex workers in India.

So far, most of the studies are confined to proposing solutions by understanding a group of users. To the best of our knowledge, IVR systems are not designed to differentiate and adapt to individual caller requirements. To fill the gap in system design to accommodate individual requirement, in this study, we explore the user behaviour for information access over

IVR and try to compare it with web and interaction with human (or call executive).

## SYSTEM BACKGROUND

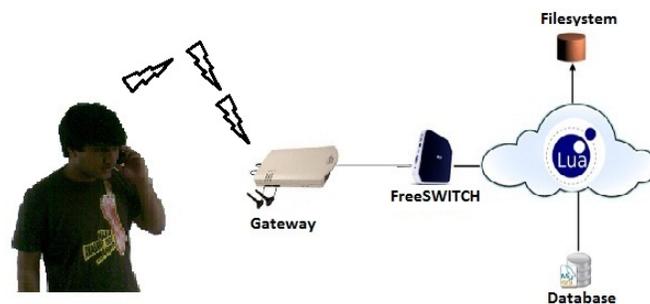
IIIT-Delhi (or IIIT-D) is a state university in New Delhi, India. For the academic session 2011-12, the annual intake in an undergraduate course (for which the IVR was developed and deployed) was of 120 students. This study was conducted for the session 2011, which had 2,211 applicants for the admission. Applicants appeared for a written exam based on which a merit list was created. All the relevant information regarding admissions was provided on institute's official website. To resolve any queries, applicants were also provided with a telephone number on institute's official website. A call executive was appointed to answer these queries. Some of the call went unattended as the call executive was busy in some other work during the office hours of 9 AM to 5 PM. There were also significant number of unattended calls from people calling in non-office hours. We deployed IIIT-D IVR with an objective to get real world data of IVR usage, which also helped us in reducing the burden on call executive and to reduce the number of unattended calls.

## DESIGN PROCESS

The information content for the IIIT-D IVR was prepared with the help of experienced and proficient staff of the admission department of IIIT-D. To ensure the validity of information content and usability of the system, IIIT-D IVR went through a series of demonstration to the individuals involved in the admission and academic processes. Voice quality and information content were the primary issues as reported during the demonstrations. Initial system was tested with various Text to Speech (TTS) engines for delivering the information content of the system. We tried our system with the voices available in Cepstral, TextAloud, AT&T Natural Voices and Microsoft Voice. Due to unsatisfactory performance of voices available in TTS (both foreign as well as Indian accent voices), we ultimately opted for human recorded voice. We tested our system with 3 human (2 male and 1 female) voices. After taking inputs from multiple people on different aspects of voice, including clarity, we decided to use female voice for our deployment. The information content was made short and concise, which also helped in optimizing the time duration for each call. All information presented through IVR was supported with appropriate URLs (announced at the end of voice prompt), to facilitate accessing the specific content in detail on the IIIT-D website.

## IMPLEMENTATION

IIIT-D IVR was implemented as a Voice application written in Lua<sup>4</sup> and hosted on FreeSWITCH (an open source telephony platform). Applicants calling to IIIT-D were connected through a gateway as shown in Figure 1. Linksys SPA 3102, single line device with capability to handle one call at a time, was used as the gateway. The gateway converted the analog signal of telephone line to the Session Initiation Protocol



**Figure 1.** A user accessing information over IVR: user dials the number of IVR on his phone. The call is first received by the gateway and is then forwarded to FreeSWITCH (Telephony platform). An application written in Lua answers the call by playing appropriate voice prompts. Lua application accesses database and file-system for storing and retrieving information.

(SIP) and forwards the request to the FreeSWITCH. User input in the form of Dual-tone multi-frequency (DTMF) key presses were forwarded by the FreeSWITCH to the application written in Lua.

## DEPLOYMENT

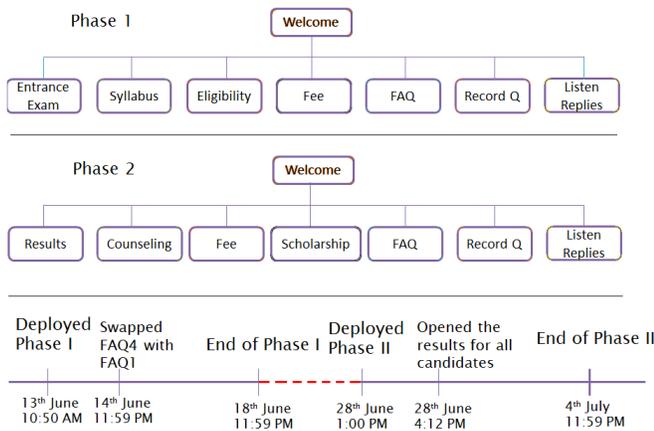
After deploying IVR in IIIT-D, we conducted the study in two phases for a total of 13 days (6 days for first phase and 7 days for second phase). The first phase was Pre-examination phase. In this phase, IVR presented information related to examination and online application process. The second phase was Post-examination phase and it was used to deliver results of the entrance examination along with other information like counseling schedule and fee structure.

The Pre-examination phase was setup to handle calls in the absence of a human attendee. Institute published a telephone number on its official website to resolve the queries of applicants related to admission procedure. For this purpose a call executive was also appointed to respond to those queries. We integrated our IVR system with the telephone line of the call executive. Callers were not aware about existence of IVR a priori. The call was transferred to IVR only if it was not attended by the call executive within the first 16 seconds.

Figure 2 shows the menu structure of the IVR system deployed at IIIT-D together with the changes we performed in the IVR with time. Pre-examination phase started on 13<sup>th</sup> June and ended on 18<sup>th</sup> June.<sup>5</sup> We continuously monitored the call recording as well as interviewed the call executive on daily basis. Based on the feedback from call executive, who specified that majority of the received queries were related to admit card, we made the first change in the running experiment on 14<sup>th</sup> night. The 4<sup>th</sup> item in the FAQ menu that was related to admit card queries was swapped with the 1<sup>st</sup> item in the FAQ menu. Written exam for the admission process at IIIT-D was conducted on 19<sup>th</sup> June, that marked the end of first phase.

<sup>4</sup><http://www.lua.org/>

<sup>5</sup>All times mentioned in this paper are in IST (Indian Standard Time).



**Figure 2.** Menu options available in each phase of IVR (1<sup>st</sup> phase corresponds to Pre-examination and 2<sup>nd</sup> phase corresponds to Post-examination). The time-line shows the modification done in the system during the experiment.

The second phase started with results declaration on 28<sup>th</sup> June. Results were declared, simultaneously on web and IVR, only for the selected candidates. Selected candidates comprised of top 247 ranks amongst the total of 2,211 candidates who appeared in the exam. In this phase, we used a separate telephone lines for IVR and call executive. There was no option to call the executive and get the results checked by her. Unlike the first phase wherein no specific phone number for IVR was mentioned (and the executive line defaulted to IVR when not picked in time), this time telephone number to access information through IVR was explicitly published on the official website of IIIT-D. In the first few hours of second phase, call executive received huge number of telephonic queries related to the result of unselected applicants. Due to this, we made a change, both on web and IVR on 28<sup>th</sup> June at 4:12 PM wherein we declared the results of all the candidates, providing ranks for all the students who appeared in the exam. A separate sheet was uploaded on the official website that contained the top few selected ranks (corresponding to candidates who will potentially be admitted).

## DATA COLLECTION

We collected data from five sources:

- *Log of system navigation* - We recorded every interaction between the IVR system and the caller. It included DTMF key press along with the time stamp and the voice prompts played by system.
- *Audio recording of calls* - We performed audio recording for all the calls to capture the callers' interaction with the call executive. These recordings were later analyzed to understand the context of the call to understand if any systemic changes are required in the IVR system.
- *Log of Lynksis SPA3102* - We collected log of PSTN gateway (Linksys) that includes information on call duration, call forwarding and callerID.

- *Web log* - We logged every web request for results received on our website. It includes the 9-digit application number and the web server time at which request were made.
- *Survey* - We conducted a post-study survey to collect responses for user experiences with IIIT-D IVR. People who came for the counseling<sup>6</sup> participated in this survey.

We also logged various parameter associated with the call. These include timestamp of DTMF key-presses, hangup-cause of calls, duration of ring before the call was picked up by IVR or call executive, among others. The telephony platform (FreeSWITCH) used by us had 7 different level of logging. Log levels are from most critical to least critical. We enabled the most detailed level of logging in FreeSWITCH that helped us to log all the events. We also collected the detailed log of every SIP packet exchange between PSTN gateway and FreeSWITCH. Detailed log from multiple sources enabled cross validation of logged parameters.

## STUDY FINDINGS

Pre-examination phase involved comparison of information access on IVR and call executive. Post-examination phase involved comparison of information access over IVR and web interface. In this section we present important findings from our experiment that helped us to form new hypothesis. We plan to validate our hypothesis in our future work.

### Traffic Overview

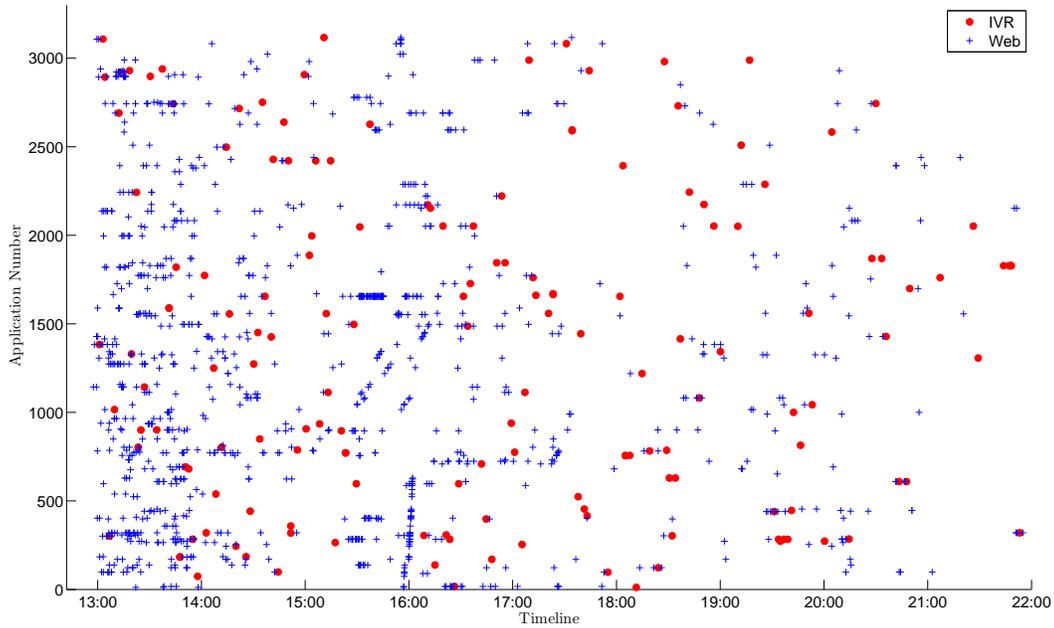
In Pre-examination phase, 421 calls were made to IIIT-D telephone line in a span of 6 days. Thirty-three calls went unattended because neither the call executive nor IVR picked the call as the call executive was busy and the caller disconnected the call within 16 seconds. For the remaining 388 calls, 180 calls were attended by call executive and 206 calls were handled by IVR. Two call recordings were corrupt due to malfunctioning of the system.

Post-examination phase was focused towards delivering the results of applicants and provided other useful information like counseling schedule, fee structure etc. Over the seven days of deployment, 405 calls were made to IVR and 42,420 requests were received over the web. Figure 6 shows breakdown of 405 calls based upon selected user input on IVR (wherein "Other" correspond to an option other than checking for results was selected by the caller). As expected, option for checking the result was most accessed option among the IVR menu in this phase. It was interesting that 42,420 request were received on the web interface for getting the results for 2,211 applicants. This indicates that on an average people have accessed the result for more than 20 times.

### IVR Content and Usage

As shown in Figure 2, IVR had seven options in each phase. The first five options contained information specific to the corresponding topic as shown in Figure 2. Sixth option allowed user to record his / her question if the user could not

<sup>6</sup>Counseling is a part of admission process where an applicant gets admission in a course based on merit list.



**Figure 3. Pattern for access of information on the first day of Post-examination Phase for both web and IVR interface. Y-axis represent anonymized application number. It is evident that there were multiple access by same application number. Multiple access of information for anonymized application number 850 is shown in shaded region.**

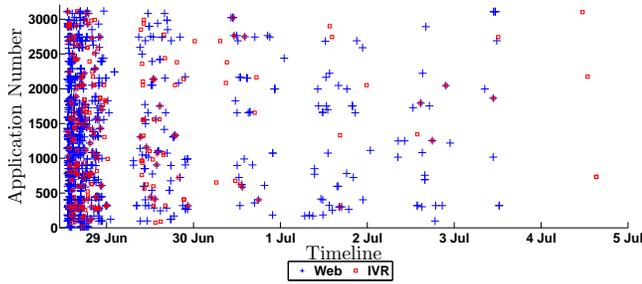
find the sought after information on the IVR. Seventh option, *Listen Replies*, allowed the IVR caller to listen to any response that the call executive may have recorded for the previously asked query in the system. Order of the first five options presented in the IVR menu was based on the discussion with the administrative staff experienced with handling the admission procedure for the past three years. The objective was to present the options in order of their relevance as suggested by the experienced staff. This ordering made us believe that number of hits (from callers calling into IVR) on each option will be in order of their position in the menu structure.

Table 1 shows the number of hits on each menu item in each of the two phases. Contrary to our earlier belief, fifth menu item in Pre-examination phase of IVR had more hits than the second, the third and the fourth option. Similarly fifth option in Post-examination phase has more hits than the third and the fourth item. This shows that in spite of taking inputs from experienced staff, the order of relevance based upon human belief (from prior experience) was different from what was experienced by accurately logging the system usage. It also suggests that it is not easy to predict the correct order of options and it may make sense for the system to learn it based on past usage in an automated manner. In the discussion section, we highlight the importance of having correct order of option.

In order to get information access pattern, we logged every interaction of the caller with the IVR. We also analyzed the call

recordings to know about information received by the caller from the call executive. For the repeated callers, we observed that once the caller gets a specific piece of information from call executive they did not try to access the same over the IVR in their successive calls. We also observed that callers did not ask the call executive for the information they had already received over the IVR in their previous calls. This leads to an assumption that once the caller was informed about any piece of information through any medium (call executive or IVR), they will not try to access it again or over another medium. Implications of this assumption may impact the relevance of an information for a repeated caller - it may be assumed that once an option has been accessed, it will not be accessed again. However, the analysis of Post-examination phase provides us contrasting outcomes (discussed next).

Post-examination phase was focused towards delivering the results of applicant that can be considered as the most critical piece of information in the admission process. Applicants had the option to access their result both on web and IVR. We logged every request for results. From the logged data, we observed that applicants checked their results multiple times and through multiple interfaces vis-a-vis on web and on IVR. Figure 3 shows requests for results made by those applicants who accessed this information on both web and IVR interface on the first day of Post-examination Phase with a time line. Y-axis represent anonymized application number. The data points encircled in the Figure 3 shows that an applicant with application number “850” has made 4 web request fol-



**Figure 4. Common result seekers: Applicants who accessed the information both on IVR and web interface.**

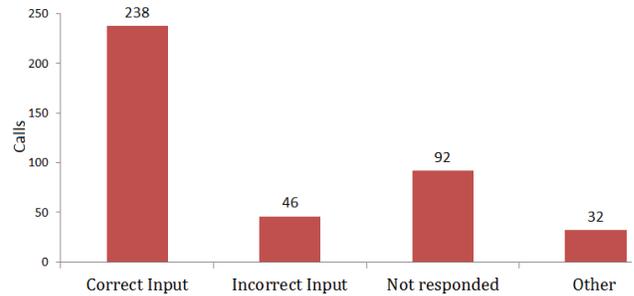
lowed by 1 IVR call and again 3 web requests. In our dataset we found that 189 application numbers were common among queries made by users on IVR and web interface. It was also interesting to observe that there were 8 applicants who checked their result only IVR only.

Figure 4 shows the trend among 189 applicant who access their result both on web and IVR. It also shows high amount of traffic on the first day itself. Figure 3 displays the detailed information for these 189 applicants for the first day. This data helped us to capture the users behavior towards critical information like results. It shows that people have tendency to cross check critical piece of information like results through multiple source. Based on this contrary behavior from the Pre-examination phase, it may be hypothesized that a critical piece of information (such as examination result) may be accessed multiple times (as well as on multiple mediums, if available) to cross check the validity of information. On the other hand users may just believe the first time, information content that is not very time critical (such as queries related to admission process).

As shown in Figure 2, we made a small change in the information content in Post-examination phase on 28<sup>th</sup> June at 4:12 PM. Initially the results were confined to successful candidates (247 out of the total of 2,211 who appeared) only. Unsuccessful candidates were told as “ineligible for counseling”. This lead to a different pattern of accessing the result among successful and unsuccessful candidates. Figure 5 shows applicants who accessed the information on IVR or web before 28<sup>th</sup> June 4:12 PM and got to know that they are successful (Left of Figure 5) and unsuccessful (Right of Figure 5) candidates. Analysis for above plotted data revealed that for the unsuccessful and successful applicants average number of result request is 1.7 and 6.2 respectively.

### Input error

In the Post-examination phase, a user had to enter a 9-digit application number in an assigned time limit to access the results. We also analyzed the usage pattern for correct and incorrect inputs as provided by the users. We observed that many people were not able to enter their application number due to frequent timeout. Call distribution in terms of key press accuracy of caller is shown in Figure 6. Out of 284 callers who chose the option to check their results, 238 callers were able to check their results. The remaining 46



**Figure 6. Key press accuracy: 238 Callers correctly able to input the 9 digit application number to access there results where 46 callers was not able to input the same in given time limit.**

callers tried multiple times to enter their 9-digit application but failed because of timing constraints. We set a reasonable time limit of 3 seconds inter-digit timeout for pressing the DTMF key for inputting the application number. It was thoroughly tested while system testing and was found to be good enough (also evident from the fact that 238 callers out of 284 callers were successful in checking their results). An important thing to note is that although the time limit was reasonably good but once the time limit is set it is a hard timing constraint and all the callers had to respond within that time limit. Even though caller failed multiple times to enter the input correctly the system did not adapt to relax the timing constraint for repeatedly failing caller.

### Survey

We conducted a survey in which 122 people of those who came for counseling at IIIT-D. These were the applicants along with parents attending the counseling. The survey comprised of 10 Questions, none of which was compulsory to answer. We asked them to rate IIIT-D IVR on various parameter on a 7-point likert scale. The summary of the responses are shown in Table 2.

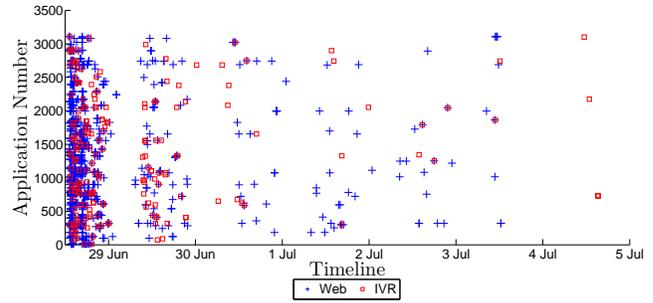
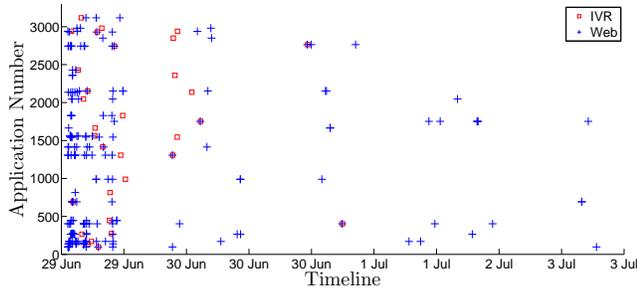
One of the questions in the survey was, which information source will user trust more in case of contradicting information available on different sources. In response to this question, 37 people voted for the Internet, 34 for Human, 13 for IVR and 8 for the medium where information is most pleasing to them.

### OBSERVATIONS

In this section we report some of the other observations that we derived from the data collected during our experiment.

#### Pre-examination Phase (IVR Vs Human)

In this phase, callers were not aware about existence of IVR prior to calling. We observed that several people disconnected the call when they encountered IVR. An intuitive hypothesis is that a caller calling during the office hours will likely expect the call being picked by a call executive and the call to be picked up by an IVR during non office hours. Higher expectation of talking to a call executive will likely result in higher percentage of early disconnects of IVR calls during office



**Figure 5. Trend of successful and unsuccessful candidates. Left: Successful Applicants who accessed the information on IVR or web before 28<sup>th</sup> June 4:12 PM. There were a total of 247 successful candidates. Right: Unsuccessful Applicants who accessed the information on IVR or web before 28<sup>th</sup> June 4:12 PM. There were a total of 1964 unsuccessful candidates.**

Option	1	2	3	4	5	6
Phase1	62	17	10	8	32	18
Phase2	284	16	5	4	7	10

**Table 1. Number of hits on each option in first and second phase of IVR. Fifth menu item in Pre-examination phase of IVR had more hits than the second, the third and the fourth option.**

hours than in non-office hours. Table 3 shows the comparison of early disconnected calls during office and non-office hours. Forty-four out of 145 calls (30.3%) were disconnected early by callers when they encountered an IVR during the office hours whereas 19 out of 61 calls (31.1%) were disconnected early by callers during the non-office hours. It shows insignificant difference in early disconnected calls between office and non-office hours proving the intuition wrong.

	Office hours	Non-office hours
Early disconnected call	44 (30.3%)	19 (31.1%)
Other calls	101	42
Total calls	145	61

**Table 3. Comparison of early disconnected calls during office and non-office hours. Table shows insignificant difference in early disconnected calls between office and non-office hours.**

Likert Scale	1	2	3	4	5	6	7
<b>User friendliness</b> 1: Very easy 7: Very hard	9	18	13	7	4	4	0
<b>Voice clarity</b> 1: Very Good 7: Very Bad	11	16	11	5	8	3	0
<b>Comparison for ease</b> 1: IVR is easy 7: Internet is easy	6	4	9	10	7	13	26

**Table 2. Survey data: Number of survey participant rated IVR for parameter mentioned in leftmost column on a 7-point Likert scale. Below each parameter the description of 1<sup>st</sup> and 7<sup>th</sup> point of Likert scale is also mentioned.**

We analyzed the call recordings of IVR and call executive to identify the calls that were related to admission in undergraduate course. Out of the total of 421 calls received during this phase, we identified 264 legitimate calls that were related to the admission process. The rest 157 calls were either not related to B.Tech. admissions or disconnected too early. Table 4 shows the distribution of calls attended by call executive and IVR.

	Office hours	Non-office hours
IVR	102 (45.9%)	42
Call executive	120 (54.1%)	NA
Total calls	222	42

**Table 4. IVR Vs Call executive: Distribution of calls received in office and non-office hours.**

Out of the 264 legitimate calls, 144 (54.5%) calls were attended by IVR and 120 calls by call executive. During the office hours, the IVR received 102 (45.9%) calls and call executive received 120 (54.1%) calls out of the total 222 calls made during this time period. Forty-two (29.1%) calls out of the total of 144 that were received by IVR were during the non-office hours. Therefore, IVR not only helped in reducing the number of unattended calls both during office and non-office hours but also reduced the burden of the call executive during office hours.

### Post-examination Phase (IVR Vs Web)

This Phase was primarily focused towards delivering the results of the examination. We received a total of 405 calls on IVR and 42,420 queries on web interface during the 7 days of experiment in this phase. We observed that for 238 out of 405 calls, callers were successfully able to check their results on IVR. On analyzing the remaining 167 calls, we observed that people either did not respond or were not able to correctly input the requisite details (application number) to fetch the desired information. On the web interface, we received 42,420 requests out of which 1,281 were invalid queries due to incorrect input by the user.

Figure 7 shows cumulative distribution of web request (Left of Figure 7) and calls on IVR (Right of Figure 7), during Post-examination phase. 95% of queries on web interface were received on the first day itself whereas on IVR we received 56% of the total calls on the first day. Looking closely, Figure 8 presents the traffic on the web and IVR interface only for the first day of Post-examination phase. In both these figures, X-Axis represents Hour value of the timing instant and Y-Axis represents Minute value of timing instant. A diamond symbol at co-ordinate (x,y) represents a web request and IVR call received at x Hour and y minute. The rectangular regions in Figure 8 represents the time of 1 AM to 6 AM.

A key observation from Figure 8 is how people adopt different ethics for usage of technology. Queries on IVR during the night time were close to zero showing that people tend to not use the telecommunication interface during this time period. On the other hand, several people checked their result on the web interface even during the night time. One may argue that the number of data points for the IVR and the web interface are different and that may have resulted in different usage pattern during the night time. However, keeping into consideration that the traffic on both the interfaces was generated by the same population of users (those checking their examination results) and there is no resource availability problem for IVR at the night time (the line is mostly available), different traffic pattern on web and IVR interface point towards different ethics for usage of web and telecommunication channels for information access.

Let us now look closely at the traffic pattern for the first day in the Post-examination phase when most of the queries were received for both the web and IVR interface. Figure 9 and 10 capture the load of web and IVR traffic respectively for the first day.

We released our results on web and IVR simultaneously around 1 PM on 28<sup>th</sup> June 2011. It is interesting to observe that for the web interface there exists a single peak in the traffic load pattern. However, for IVR interface, we observe multiple peaks in the traffic load. It is important to note that for the web interface unlimited number of parallel connections are available whereas for the IVR interface, only a single telephone line is available for all the users. As the news of examination results spread, traffic on the web (with unlimited parallel connections) increases. After a certain time (when most people would have checked their results), the traffic load on the web interface decreases. It is, therefore, intuitive to have

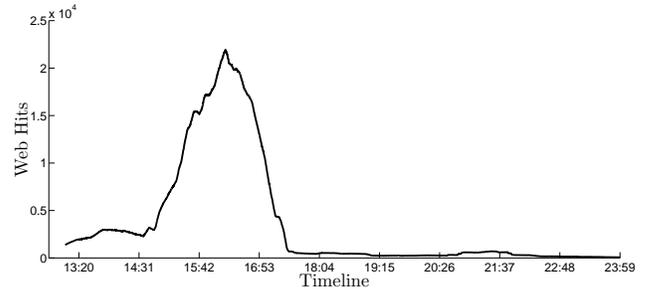


Figure 9. Traffic load on web: A data point at coordinate (x,y) represents y number of hits on web in duration of one hour in  $x \pm 30$  minutes.

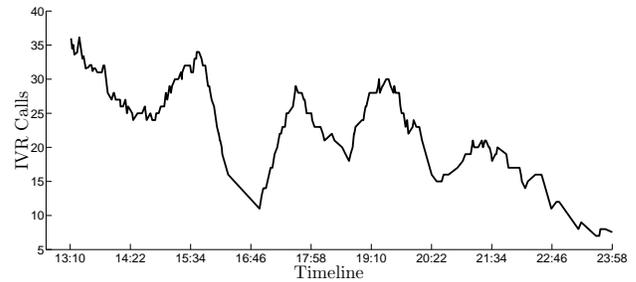


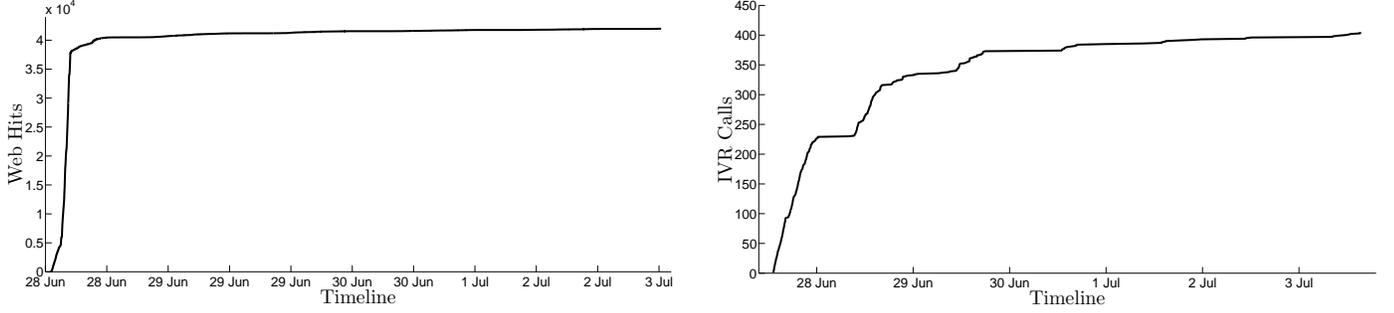
Figure 10. Traffic load on IVR: A data point at coordinate (x,y) represents y number of calls on IVR in duration of one hour in  $x \pm 30$  minutes.

a single peak in the web interface traffic load.

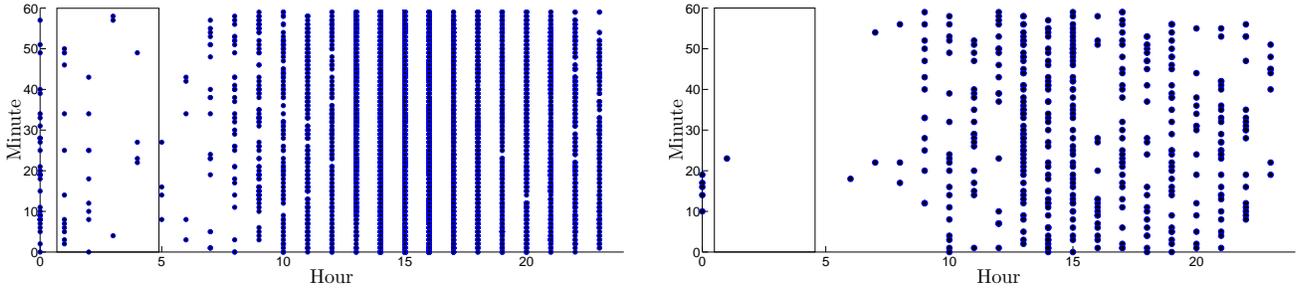
With a single connection available in the case of IVR interface, when people started making calls over IVR, an existing call will block other users from being able to access the information on IVR as well. Due to lack of free available channel over IVR people may start backing off from it, with an intention to try calling in again after a certain delay. This backing off leads to a fall in the traffic over IVR. Further, as a result of this backing off load on the IVR channel reduces and the channel will again become more accessible leading to a rise in traffic. This back-off phenomenon intuitively explains the existence of multiple peaks in the IVR traffic load. As a result, one can argue that with multiple channels of IVR being available to the users, one would have probably seen a similar traffic pattern on IVR interface as on the web interface. Extending this argument further, such an analysis can be used by businesses relying on IVR interface for customer service to estimate the number of parallel channels required to optimally serve their clients.

### DISCUSSION

In this section we discuss some of the possible implications of our findings from the experiment and the analysis performed thereof. We try to formulate hypothesis for the design of IVR systems that highlights possibly new research challenges in this domain. In the previous section, we showed that relying on human feedback for predicting the relevance order of information, to decide on the menu structure of IVR is not straight forward. Our results demonstrate that an automated analysis of traffic pattern and learning will probably give a better relevance order than relying on human exper-



**Figure 7. Cumulative distribution of web hits and IVR calls. Left: Cumulative distribution of web hits: Y axes represents number of web request and X axes represents timing instant. Right: Cumulative distribution of IVR calls: Y axes represents number of calls and X axes represents timing instant.**



**Figure 8. Time of the day for web requests and IVR calls. Left: A data symbol at co-ordinate (x,y) represents a web request received at x Hour and y minute. Queries on the web interface even during the night time. Right: A data symbol at co-ordinate (x,y) represents a IVR call received at x Hour and y minute. Queries on IVR during the night time were close to zero showing that people tend to not use the telecommunication interface during this time period.**

tise. It is also critical to account for different order of relevance for each caller to accommodate for differences in preferences. IVRs have been typically found to be frustrating as they provide information that is not all relevant in the context of the call, thereby taking higher amount of time to deliver the relevant information. This problem can be addressed by an IVR that adapts to every caller by rearranging the information based on the order of relevance as learned by the system. Let us now discuss how rearranging the menu items in the order of relevance in an IVR system can help reduce the amount of time spent by the user. Table 5 gives the exact number of hits on each of the menu item in our experimental IVR during the Pre-examination phase. If suppose for every menu item, IVR takes  $c$  seconds to announce about it (while delivering the complete menu structure) and  $d$  seconds to play specific information contained inside the item to the caller, then minimum amount of time required by ordering of option as mentioned in Table 5 will be

Option	1	2	3	4	5	6
Hits	62	17	10	8	32	18

**Table 5. Number of hits on each option in first phase.**

$$62(c + d) + 17(2c + d) + 10(3c + d) + 8(4c + d) + 32(5c + d) + 18(6c + d) = 147d + 426c$$

However, if instead the IVR had realigned the menu items in

the decreasing order of their hits, resulting minimum amount of time required will be:

$$62(c + d) + 32(2c + d) + 18(3c + d) + 17(4c + d) + 10(5c + d) + 8(6c + d) = 147d + 346c$$

Therefore, self adaptable IVR could possibly lead to significant time saving. Automated rearrangement of the menu items in the order of their relevance can form a feature of adaptable IVR system. The rearrangement can be performed based upon number of hits historically received by a given menu item.

Further analysis of our data showed that among the repeated callers, very few people listened to the non-critical information option that they had already listened to in their previous encounters with the IVR system. This can form additional input while rearranging the menu items wherein for a repeated caller the options previously listened to (for such a service primarily meant for delivering static information) by the caller can be put at the end of the menu structure. To this effect, we propose the following things to adapt the menu structure in the order of relevance:

- Divide the menu items of IVR in two set of *accessed* and *never-accessed*.
- Individually calculate the order of relevance for each set based on the global order of relevance calculated as per the historical usage of IVR system (not specific to any user).

- Order of relevance for a repeated and non-repeated caller therefore should be *never-accessed* in its order of relevance followed by *accessed* in its order of relevance and vice versa, respectively.

It is important to note that the above structure is proposed for delivery of static information content over IVR. For other IVR (e.g. those catering to customer support or where the content varies with time), a different approach will be required for adapting the menu structure. Further, an important component for an adaptable IVR is to distinguish between first time and repeated caller and adapt the menu structure accordingly.

Further, adaptable IVR systems can also account for accuracy of the keypress. We discussed earlier that 46 callers were not able to fetch the results because of timing constraint. This happened in spite of rigorous testing priori with the IVR to ensure that enough time is given to input the application number which is also evident by 238 callers being able to successfully input the application number. For the unsuccessful callers, the system could have adapted by increasing the timing constraint so as to allow them higher amount of time the next time they try to call.

We would also like to mention some of the limitations of our experimental study:

- We made two changes in the system (one in each phase) to better serve the end users (considering the criticality of the live information dissemination system catering to admission process of the institute) that may had an effect on usage of the system.
- We only used a single telephone line for our IVR system that may have blocked several callers trying simultaneously. Analysis could have been more enriching if we had used multiple parallel telephone lines.
- In our analysis we assumed that request corresponding to each application number is from the same individual, which may or may not be the case in reality. However, such assumption does not critically affect any of the analysis or discussion mentioned in the paper.

## CONCLUSIONS AND FUTURE WORK

We did a real world experiment to investigate the usability issues of voice based information systems. Several key observations were reported on usage pattern while comparing the information access on diverse channels vis-a-vis web interface, IVR interface and talking to a call executive. We extend these observations to also propose possible features in a self-adaptable IVR system that can improve upon the usability of the end user. We aim to build IVR systems which have ease of access like the Internet while adaptable enough to match human-like ability in dealing with caller intricacies.

In the future, we plan to collect more data to overcome several of the limitations mentioned earlier. Future experimental studies will be in the direction to develop and validate new hypothesis for improved usability of IVR systems based upon self-adaptability of these systems. We also plan to extend out

study to the admission process of next year and validate several of our findings in the live system used for the same scenario.

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